

RESOLUTION OF THE
RESOURCES AND DEVELOPMENT COMMITTEE
Of the 23rd Navajo Nation Council---Second Year 2016

AN ACTION
RELATING TO RESOURCES AND DEVELOPMENT COMMITTEE; APPROVING THE
FY 2016 NAVAJO NATION ROAD FUNDING PLAN IN THE AMOUNT OF
\$2,175,000.00

BE IT ENACTED:

Section One. Authorities

A. The Resources and Development Committee has oversight authority over roads and transportation to oversee planning and coordination of all roads and transportation activities of the Navajo Nation. 2 N.N.C. § 500 (C)(6).

B. The Resources and Development Committee has Legislative Oversight authority over the Navajo Nation Road Fund Management Plan. 12 N.N.C. § 1003 (B), see also, BFMY-16-14 and RDCJN-36-14.

C. The Resources and Development Committee has oversight authority over the Navajo Division of Transportation which administers the Navajo Nation Road Fund Management Plan. 12 N.N.C. § 1003 (C), see also, BFMY-16-14 and RDCJN-36-14.

D. The Navajo Nation Road Fund Management Plan at 12 N.N.C. § 1003 (A) states that "[t]he funding sources of the Navajo Nation Road Fund shall be funds generated from the Navajo Nation Fuel Excise Tax. The Resources and Development Committee may appropriate all Navajo Nation Fuel Excise Taxes Funds for administration cost, preliminary studies, road maintenance, and road improvement activities to provide a common benefit across all Navajo lands." See also, BFMY-16-14 and RDCJN-36-14.

Section Two. Findings

A. The Navajo Division of Transportation has submitted the FY2016 Navajo Nation Road Fund Plan in the amount of \$2,175,000.00

to the Resources and Development Committee for the Committee's approval; the Plan is attached as Exhibit "A."

B. It is in the best interest of the Navajo Nation for the Resources and Development Committee to approve the FY2016 Navajo Nation Road Fund Plan.

Section Three. Approval

The Navajo Nation hereby approves the FY2016 Navajo Nation Road Fund Plan in the amount of \$2,175,000.00, attached as Exhibit "A."

CERTIFICATION

I, hereby, certify that the foregoing resolution was duly considered by the Resources and Development Committee of the 23rd Navajo Nation Council at a duly called meeting at Navajo Nation Council, (Navajo Nation) Window Rock, Arizona, at which quorum was present and the same was passed by a vote of 4 in favor, 0 opposed, 1 abstained this 5th day of April, 2016.



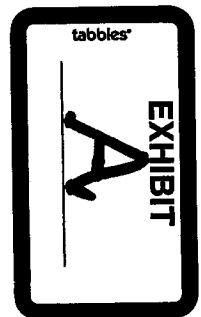
**Alton Joe Shepherd, Chairperson
Resources and Development Committee
Of the 23rd Navajo Nation Council**

**Motion: Walter Phelps
Second: Leonard Tsosie**

Exhibit "A"
Proposed FY2016 Navajo Nation Road Funding Plan
Line Item 9020 (Infrastructure Only)
Navajo Division of Transportation - Department of Roads
Changes made to the Plan on January 21, 2016

Chapter

	Road Maintenance and Improvement Activities	Revised Budget	Budget Balance
		\$ 2,175,000.00	\$ 2,175,000.00
1	Fort Defiance Solar Street Lighting	\$ 180,000.00	\$ 1,995,000.00
2	N8030 Pinon, 4.5 miles of gravel application	\$ 630,000.00	\$ 1,365,000.00
3	N16 Inscription House, 8.97 miles of culvert installation	\$ 585,937.54	\$ 779,062.46
4	Chinle Cemetary Access Road	\$ 130,000.00	\$ 649,062.46
5	Navajo County Gravel Crushing IGA	\$ 200,000.00	\$ 449,062.46
6	Coconino County Match	\$ 200,000.00	\$ 249,062.46
7	N9660 Johnson Ranch Road Contribution	\$ 200,000.00	\$ 49,062.46
8	Navajo Oil and Gas	\$ 49,062.46	\$ -





NAVAJO DIVISION OF TRANSPORTATION

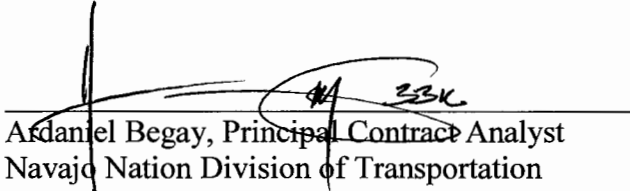
POST OFFICE BOX 4620
WINDOW ROCK, ARIZONA 86515

TEL: 505.371.8300
FAX: 505.371.8399

MEMORANDUM:

TO: 2 N.N.C. §164 Reviewers

Robert Willie, Accounting Manager
Office of the Controller

FROM: 
Ardanjel Begay, Principal Contract Analyst
Navajo Nation Division of Transportation

DATE: March 3, 2016

SUBJECT: **§164 Review # 5415 - Approving FY 2016 Navajo Nation Road Funding Plan**

The Navajo Nation Division of Transportation (DOT) commenced 164 Review to approve the FY 2016 Navajo Nation Road Funding Plan. In response to Mr. Robert Willie's March 1, 2016 memorandum:

1. There are sufficient funds available in 506007.9020; see attached 506007 Budget Status Report. The FY 2016 Road Fund amount is \$2,175,000.00.
2. There are no pending appropriations that will impact the available balance.

Thank you for your review. If you have any questions or need any additional information, please contact me at (505) 371-8351, or Ms. Marlinda Littleman, Department of Roads at (505) 371-8372. Thank you.

xc: File
Garret Silversmith, Division Director
Marlinda Littleman, Department of Roads
Navajo DOT Managers

R55BU500
 NN0001
 MNELSON

NAVAJO NATION
 Budget Status Report
 As of 3/31/2016

3/3/2016

10:32:42

Account Range	Original Budget	Revised Budget	Actuals	Encumbrances	Budget Available	% Expensed of Total
8800 Scholarships						
9000 Capital Outlay						
9001 Real Property						
9020 Infrastructure	2,175,000.00	2,367,662.29	181,019.36	11,642.93	2,175,000.00	8.14
9001 Real Property	2,175,000.00	2,367,662.29	181,019.36	11,642.93	2,175,000.00	8.14
9100 Personal Property						
9140 Equipment			136,409.06		136,409.06-	
9100 Personal Property			136,409.06		136,409.06-	
9000 Capital Outlay	2,175,000.00	2,367,662.29	317,428.42	11,642.93	2,038,590.94	13.90
9300 Other Income and Expe						
9310 Other Income						
9400 Other Expense						
9500 Matching & Indirect C						
9501 Matching Funds						
9510 Cash Matching Funds		220,000.00	8,554.10		211,445.90	3.89
9501 Matching Funds		220,000.00	8,554.10		211,445.90	3.89
9700 Indirect Cost (Overh						
9500 Matching & Indirect C		220,000.00	8,554.10		211,445.90	3.89
2000 Expenses	5,337,364.00	5,789,546.84	1,042,447.11	247,111.06	4,499,988.67	22.27
506007 NDOT - 17% ROAD MAINT			1,042,447.11	247,111.06	1,289,558.17-	
01120 NAVAJO NATION ROAD FUND			1,042,447.11	247,111.06	1,289,558.17-	

Document No. 005415

Date Issued: 02/04/2016

SECTION 164 REVIEW FORM

Title of Document: FY2016 NN Road Funding Plan Contact Name: BEGAY, ARDANIEL JOE

Program/Division: DIVISION OF TRANSPORTATION

Email: abegay@navajodot.org Phone Number: 505.371.8351/8460

Division Director Approval for 164A:  2/11/2016

Check document category: only submit to category reviewers. Each reviewer has a maximum 7 working days, except Business Regulatory Department which has 2 days, to review and determine whether the document(s) are sufficient or insufficient. If deemed insufficient, a memorandum explaining the insufficiency of the document(s) is required.

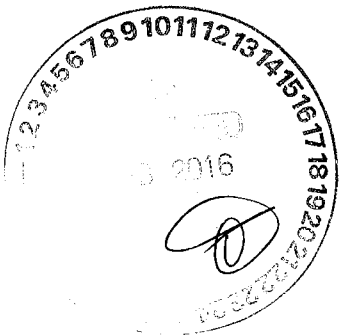
Section 164(A) Final approval rests with Legislative Standing Committee(s) or Council

<input type="checkbox"/>	Statement of Policy or Positive Law:		Sufficient	Insufficient
	1. OAG: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/>	IGA, Budget Resolutions, Budget Reallocations or amendments: (OMB and Controller sign ONLY if document expends or receives funds)			
	1. OMB: <u>PS Begay - See Memo</u>	Date: <u>2/22/16</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	2. OOC: <u>Y. Williams</u>	Date: <u>3-1-16</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	3. OAG: <u>W. P. ...</u>	Date: <u>3-2-16</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

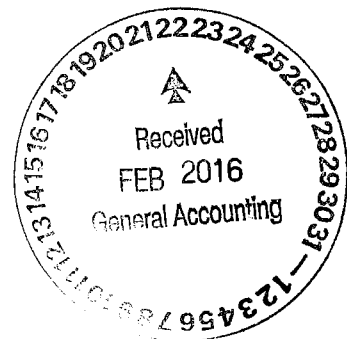
Section 164(B) Final approval rests with the President of the Navajo Nation

<input type="checkbox"/>	Grant/Funding Agreement or amendment:			
	1. Division: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	2. OMB: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	3. OOC: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	4. OAG: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	Subcontract/Contract expending or receiving funds or amendment:			
	1. Division: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	2. BRD: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	3. OMB: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	4. OOC: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	5. OAG: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	Letter of Assurance/M.O.A./M.O.U./Other agreement not expending funds or amendment:			
	1. Division: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	2. OAG: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	M.O.A. or Letter of Assurance expending or receiving funds or amendment:			
	1. Division: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	2. OMB: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	3. OOC: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	4. OAG: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>

RECEIVED
2016 FEB 22 PM 2 02
OFFICE SERVICES
OFFICE OF THE CONTROLLER



RECEIVED
FEB 12 2016
OFFICE OF MANAGEMENT & BUDGET
NAVAJO NATION





NAVAJO NATION DEPARTMENT OF JUSTICE

DOCUMENT REVIEW REQUEST FORM



DOJ
 03-01-16 @ 4:15pm
 DATE / TIME
 7 Day Deadline
 DOC #: 005415
 SAS #:
 UNIT: NRU

RESUBMITTAL

*** FOR NNDJ USE ONLY - DO NOT CHANGE OR REVISE FORM. VARIATIONS OF THIS FORM WILL NOT BE ACCEPTED. ***

CLIENT TO COMPLETE

DATE OF REQUEST: 2/4/2016 DIVISION: Transportation
 CONTACT NAME: Ray Russell DEPARTMENT: Department of Roads
 PHONE NUMBER: 505-371-8392/8351 E-MAIL: russell@navajodot.org

TITLE OF DOCUMENT: 164 (A) Review #005415 - Proposed Resolution of the Resources & Development Committee of the Navajo Nation Council - Approving the FY 2016 Navajo Nation Road Funding Plan.

DOJ SECRETARY TO COMPLETE

DATE/TIME IN UNIT: 3/1/16 4:35 PM REVIEWING ATTORNEY/ADVOCATE: Veronica 3-10-16
 DATE/TIME OUT OF UNIT: 3/2/16 11:48 pm JB

DOJ ATTORNEY / ADVOCATE COMMENTS

Sufficient

REVIEWED BY: (Print) _____ Date / Time _____ SURNAME BY: (Print) Veronica Blackbat Date / Time 3/2/16 10:50 AM

DOJ Secretary Called: *Left vm for Ray Russell* for Document Pick Up on 3/2/16 at 11:23 am By: JB

PICKED UP BY: (Print) _____ DATE / TIME: _____



THE NAVAJO NATION

**RUSSELL BEGAYE PRESIDENT
JONATHAN NEZ VICE-PRESIDENT**

MEMORANDUM:

TO : 2 NNC § 164 Reviewers
Delegates & 2 NNC '164 Reviewers
Navajo Nation Government

FROM : *Robert Willie*
Robert Willie, Accounting Manager
Office of the Controller

DATE : March 1, 2016

SUBJECT : 164-005415 FY 2016 NN Road Funding Plan

The Office of the Controller has reviewed the above referenced memo request. .

1. The balance in 506007 line item 9020 is \$2,163,357.07. This 164 is asking for 2,175,000.
2. If there are any pending appropriations in the legislative process that have not been approved as of this date are not included in the calculation of this available balance.

If you should have any questions you can contact me at tribal extension X6125.

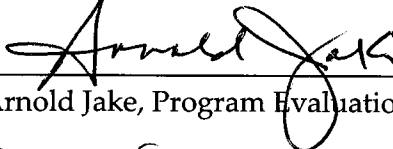


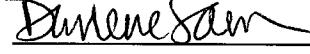
THE NAVAJO NATION

RUSSELL BEGAYE PRESIDENT
JONATHAN NEZ VICE PRESIDENT

MEMORANDUM

TO : Executive Order/164 Reviewers

FROM : 
Arnold Jake, Program Evaluation Manager


Darlene Sam, Senior Budget Analyst
Office of Management and Budget

DATE : February 19, 2016

SUBJECT : **Document No. 005415:** Proposed FY 2016 Navajo Nation Road Funding Plan in the Amount of \$2,175,000.

Pursuant to Executive Order No. 07-2013, the Office of Management and Budget (OMB) reviewed the proposed document, and deems the document to be Sufficient however the following comments are provided.

1. The proposed project listing, Exhibit A, is required by the Road Fund, Fund Management Plan, as approved by BFMV-16-14. See Section 1004 C. 1.
2. Exhibit A, project listing, just lists the projects. There is no indication that the projects listed are "construction ready". The assumption is that the list was developed using the process and criteria contained in the Road Fund Management Plan.
3. Exhibit A, project listing, should include additional information by adding a column with heading "chapter".
4. Once the project listing is reviewed and approved by RDC, any changes to the approved listing will require RDC approval.

Contact OMB at 871-6470 if there are any questions and/or concerns regarding this memorandum.

CONCURRENCE:



Dominic Beyal, Executive Director - OMB

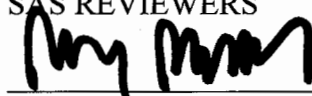


NAVAJO DIVISION OF TRANSPORTATION

POST OFFICE BOX 4620, WINDOW ROCK, AZ 86515

TEL: 505-371-8300/8301 FAX: 505-371-8399

MEMORANDUM

Date : February 3, 2016
To : SAS REVIEWERS
From : 
Ray Russell, Department Manager
Department of Roads
Division of Transportation
Subject : FY2016 Navajo Nation Road Funding Plan

The Navajo Division of Transportation (NavajoDOT) – Department of Roads (DOR), respectfully request your prompt review and approval of the attached FY2016 Navajo Nation Road Funding Plan, \$2,175,000.00.

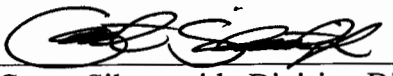
The Navajo Nation Road Funding Plan is submitted in accordance to the Navajo Nation Road Fund Management Plan, Resolution #BFMY-16-14, which states,

- 1) *1003.C, The Navajo Division of Transportation shall have the authority and responsibility to use the funds for eligible road maintenance and road improvement, equipment, and as matching funds.... With concurrence by the Resources and Development of the Navajo Nation Council.*
- 2) *1004.C.1, The Navajo Division of Transportation shall submit the Navajo Nation Road Funding Plan to the Resources and Development Committee for annual approval in accordance with annual established budget policies and procedures.*

The Navajo Nation Road Funding Plan includes: road maintenance and road improvement activities, preliminary engineering (archaeological and biological assessments), drainage analysis, centerline surveys, gravel application projects, purchase of aggregate materials and culverts, street and roadway lighting, maintenance yard activities, and matching funds to Counties that support the Navajo Nation transportation systems.

The Navajo Nation Road Funding Plan is attached for you review and approval. If you have any questions, please contact myself or Marlinda Littleman, Senior Program & Project Specialist, at (505)371-8392/8372. Thank you.

CONCURRENCE:

 2/11/2016

Garret Silversmith, Division Director
Navajo Division of Transportation

cc: Ardaniel Begay, PCA/EXECUTIVE
Marlinda Littleman, SPPS/ROADS
Edith Morgan, Sr. Accountant



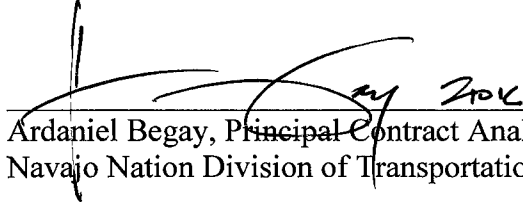
NAVAJO DIVISION OF TRANSPORTATION

POST OFFICE BOX 4620
WINDOW ROCK, ARIZONA 86515

TEL: 505.371.8300
FAX: 505.371.8399

MEMORANDUM:

TO: 2 N.N.C. §164 Administrative Reviewers

FROM: 
Ardaniel Begay, Principal Contract Analyst
Navajo Nation Division of Transportation

DATE: February 10, 2016

SUBJECT: **§164 Review # 5415 - Approving the FY 2016 Navajo Nation Road Funding Plan**

The Navajo Nation Division of Transportation (Navajo DOT) prepared and presents the FY 2016 Road Funding Plan in the total amount of \$2,175,000. This is the original amount planned and budgeted in Business Unit 506007. A February 4th review of the Budget Status Report displays an available budget amount of only \$2,163,357.07 in 506007.9020 (see Attachment A). To cover the reduced amount, Navajo DOT initiated a Budget Revision Request Form in the amount of \$11,642.93; signed and submitted on February 10th (see Attachment B)

This BRR will restore the balance available back to the original planned & budgeted amount of \$2,175,000. With this, Navajo DOT will proceed with the original FY 2016 Road Funding Plan.

Thank you for your timely review of this 164 Review package. If you have any questions or need any additional information, please contact me at (505) 371-8397 or Ms. Edith Morgan at (505) 371-8374.

xc: 164 Review # 5415
Navajo DOT Managers

R55BU500
 NN0001
 MNELSON

506007

NAVAJO NATION
 Budget Status Report
 As of 2/28/2016

2/4/2016

15:12:32

Account Range	Original Budget	Revised Budget	Actuals	Encumbrances	Budget Available	% Expensed of Total
7767 Workers' Comp Prem			909.73		909.73-	
7710 Insurance Premiums	43,853.00	43,853.00	17,721.12		26,131.88	40.41
7768 Data Conv-Ins Prem						
7770 Insurance Payouts						
7700 Insurance & Benefits	43,853.00	43,853.00	17,721.12		26,131.88	40.41
7000 Special Transactions	97,053.00	97,053.00	23,101.65		73,951.35	23.80
8000 Assistance						
8010 Public						
8700 Grants						
8800 Scholarships						
9000 Capital Outlay						
9001 Real Property						
9020 Infrastructure	2,175,000.00	2,175,000.00			2,175,000.00	
9024 Roads		181,019.36	110,706.61	81,955.68	11,642.93-	106.43
9020 Infrastructure	2,175,000.00	2,356,019.36	110,706.61	81,955.68	2,163,357.07	8.18
9050 Building						
9001 Real Property	2,175,000.00	2,356,019.36	110,706.61	81,955.68	2,163,357.07	8.18
9100 Personal Property						
9140 Equipment						
9142 Equipment			136,409.06		136,409.06-	
9140 Equipment			136,409.06		136,409.06-	
9160 Vehicles						
9100 Personal Property			136,409.06		136,409.06-	

G/L Date From thru 2/29/2016

Account Number	Order	Order Date	Vendor	Vendor Name	G/L Date	Original Commitment	Liquidating Expenditure	Current Commitment	Last Activity Date
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	232.84		232.84	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	893.70		893.70	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	120.00		120.00	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	360.00		360.00	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	360.00		360.00	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	394.32		394.32	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	197.16		197.16	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	146.80		146.80	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	284.88		284.88	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	103.28		103.28	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	202.08		202.08	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	120.00		120.00	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	509.44		509.44	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	120.00		120.00	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	142.30		142.30	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	142.30		142.30	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	3,688.30		3,688.30	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	142.30		142.30	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	142.30		142.30	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	120.00		120.00	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	75.00		75.00	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	12.00		12.00	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	1,678.60		1,678.60	12/29/2015
506007.9024	OP 326193	11/6/2015	321998	NEWMAN TRAFFIC SIGNS	11/6/2015	900.90		900.90	12/29/2015
506007.9024	OC 11339	9/18/2015	204195	BLUEHORSE ENERGY LLC	10/1/2015	135,683.80	110,706.61	24,977.19	12/15/2015
506007.9024	OC 11047	3/16/2015	285685	ARROW INDIAN CONTRACTORS INC	10/1/2015	323,450.00	309,016.05	14,433.95	2/5/2016
C01470.9024	OC 11047	3/16/2015	285685	ARROW INDIAN CONTRACTORS INC	3/16/2015	439,444.32	358,363.59	81,080.73	2/5/2016
Subtotal for Account: 9024						910,221.05	778,086.25	132,134.80	
506007.6540	OC 10778	9/8/2014	316506	DIBBLE ENGINEERING	10/1/2015	3,155.00	2,728.75	426.25	10/12/2015
Subtotal for Account: 6540						3,155.00	2,728.75	426.25	
506007.4720	OC 10691	8/1/2014	277490	NAVAJO PETROLEUM, LLC	11/19/2015	200,000.00	41,012.36	158,987.64	2/4/2016
Subtotal for Account: 4720						200,000.00	41,012.36	158,987.64	

11642.93

